# Influence of El Niño on Midtropospheric CO<sub>2</sub> from Atmospheric Infrared Sounder and Model

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#### **ABSTRACT**

The authors investigate the influence of El Niño on midtropospheric CO<sub>2</sub> from the Atmospheric Infrared Sounder (AIRS) and the Model for Ozone and Related Chemical Tracers, version 2 (MOZART-2). AIRS midtropospheric CO<sub>2</sub> data are used to study the temporal and spatial variability of CO<sub>2</sub> in response to El Niño. CO<sub>2</sub> differences between the central and western Pacific Ocean correlate well with the Southern Oscillation index. To reveal the temporal and spatial variability of the El Niño signal in the AIRS midtropospheric CO<sub>2</sub>, a multiple regression method is applied to the CO<sub>2</sub> data from September 2002 to February 2011. There is more (less) midtropospheric CO<sub>2</sub> in the central Pacific and less (more) midtropospheric CO<sub>2</sub> in the western Pacific during El Niño (La Niña) events. Similar results are seen in the MOZART-2 convolved midtropospheric CO<sub>2</sub>, although the El Niño signal in the MOZART-2 is weaker than that in the AIRS data.

#### 1. Introduction

Atmospheric CO<sub>2</sub>, an important greenhouse gas in the atmosphere, is increasing globally at a rate of approximately 2 ppm yr<sup>-1</sup> mainly as a consequence of fossil fuel combustion (Keeling et al. 1995). In addition to the CO<sub>2</sub> trend, atmospheric CO<sub>2</sub> also exhibits strong seasonal cycles. Variations of CO<sub>2</sub> seasonal cycle amplitudes are closely related to carbon exchange with the biosphere (Pearman and Hyson 1980, 1981; Cleveland et al. 1983;

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Bacastow et al. 1985; Keeling et al. 1996; Buermann et al. 2007). Atmospheric CO<sub>2</sub> also demonstrates intraseasonal and interannual variabilities (Bacastow 1976; Enting 1987; Feely et al. 1987; Keeling and Revelle 1985; Keeling et al. 1995; Dargaville et al. 2000; Dettinger and Ghil 1998; Jiang et al. 2010). Combining satellite/in situ observations and model simulations, we found that there are Madden–Julian oscillation (MJO), semiannual oscillation (SAO), and tropospheric biennial oscillation (TBO) signals in midtropospheric CO<sub>2</sub> (Li et al. 2010; Jiang et al. 2012; Wang et al. 2011). Using midtropospheric CO<sub>2</sub> data from the Atmospheric Infrared Sounder (AIRS), Jiang et al. (2010) found that El Niño–Southern Oscillation (ENSO) can influence midtropospheric CO<sub>2</sub> concentration as the result of a change in the Walker circulation

(Julian and Chervin 1978). Midtropospheric CO<sub>2</sub> is enhanced in the central Pacific Ocean and diminished in the western Pacific Ocean during El Niño (Jiang et al. 2010). In the high latitudes, midtropospheric CO<sub>2</sub> concentration can be influenced by the strength of the polar vortex. In this paper, we investigate the temporal variability of midtropospheric CO<sub>2</sub> from AIRS using a multiple regression method, and we compare the results with those from a chemistry-transport model.

# 2. Data and model

Mixing ratios of midtropospheric AIRS CO<sub>2</sub> are retrieved using the vanishing partial derivative (VPD) method (Chahine et al. 2005, 2008). The maximum sensitivity of AIRS midtropospheric CO<sub>2</sub> is between 500 and 300 hPa. AIRS midtropospheric CO<sub>2</sub> is retrieved globally in the midtroposphere during day and night. AIRS, version 5, CO<sub>2</sub> retrieval products are available at  $2^{\circ} \times 2.5^{\circ}$  (latitude by longitude) resolution from September 2002 to February 2011. Validation, by comparison to in situ aircraft measurements and retrievals from land-based upward-looking Fourier transform interferometers, demonstrated that AIRS CO<sub>2</sub> is accurate to 1–2 ppm between latitudes 30°S and 80°N (Chahine et al. 2005, 2008). Midtropospheric CO<sub>2</sub> retrieved via the VPD method captures the correct seasonal cycle and trend compared with those from the Comprehensive Observation Network for Trace Gases by Airliner (CONTRAIL) (Chahine et al. 2005).

A three-dimensional (3D) chemistry and transport model, the Model for Ozone and Related Chemical Tracers, version 2 (MOZART-2), was used in this paper to simulate the El Niño signal in midtropospheric CO<sub>2</sub>. MOZART-2 is driven by the European Centre for Medium-Range Weather Forecasts Interim (ECMWF-Interim) meteorological data. The horizontal resolution of MOZART-2 is  $2.8^{\circ} \times 2.8^{\circ}$  (latitude by longitude). There are 45 vertical levels extending up to approximately 50-km altitude (Horowitz et al. 2003). MOZART-2 is built on the framework of the Model of Atmospheric Transport and Chemistry (MATCH). MATCH includes representations of advection, convective transport, boundary layer mixing, and wet and dry deposition. The surface boundary condition for MOZART-2 is the climatological CO<sub>2</sub> surface fluxes from biomass burning, fossil fuel emission, ocean, and biosphere used in Jiang et al. (2008). MOZART-2, driven by the ECMWF-Interim meteorological data and climatological CO<sub>2</sub> surface fluxes, is used to investigate the influence of El Niño on midtropospheric CO<sub>2</sub>. To reveal if ECMWF-Interim simulates the ENSO signal well, we have calculated the Southern Oscillation index (SOI) from ECMWF-Interim Re-Analysis datasets by analyzing the standardized mean sea surface pressure differences between Darwin and Tahiti. The SOI derived from ECMWF-Interim correlates well with the standard SOI, which is defined by the sea surface pressure difference between Tahiti and Darwin. The correlation coefficient between two time series is 0.81. The corresponding significance level is 1%. The significance statistics for the correlation are generated by a Monte Carlo method (Press et al. 1992; Jiang et al. 2004). The ECMWF-Interim Re-Analysis captures the ENSO signal well at the surface.

# 3. Results and discussion

Before exploring the influence of El Niño on midtropospheric CO<sub>2</sub>, we first calculated the mean AIRS midtropospheric CO<sub>2</sub> abundance from September 2002 to February 2011. Results for the mean AIRS midtropospheric CO<sub>2</sub> are shown in Fig. 1. There is more midtropospheric CO<sub>2</sub> over the western Pacific and less over the eastern Pacific. This is related to the redistribution of CO<sub>2</sub> as a result of the Walker circulation. There is upwelling air over the western Pacific Ocean, which can bring high values of CO<sub>2</sub> from the surface to the midtroposphere. Air is sinking over the eastern Pacific Ocean, which can bring low concentrations of CO<sub>2</sub> from the high altitude to the midtroposphere.

Next, we investigated the temporal variations of the ENSO signal in midtropospheric CO<sub>2</sub>. We calculated the difference of CO<sub>2</sub> between the central Pacific (18°S– 18°N, 190°–240°E) and the western Pacific (18°S–18°N, 110°–160°E) areas. A linear trend was removed from the AIRS midtropospheric CO<sub>2</sub> difference. The detrended AIRS midtropospheric CO<sub>2</sub> difference between the central Pacific and the western Pacific is shown by the solid line in Fig. 2a. A linear trend was removed from the standard SOI. The detrended and inverted SOI is shown in Fig. 2a by the dashed line. When there is an El Niño (La Niña) event, the SOI is negative (positive). The CO<sub>2</sub> difference (central Pacific - western Pacific) is positive (negative) for El Niño (La Niña) episodes. It suggests that there is more (less) midtropospheric CO<sub>2</sub> over the central Pacific than over the western Pacific during El Niño (La Niña) episodes. As shown in Fig. 2a, the detrended AIRS CO<sub>2</sub> difference correlates well with the inverted and detrended SOI. The correlation coefficient between the detrended AIRS CO<sub>2</sub> difference and the inverted and detrended SOI is 0.61. The corresponding significance level is 1%. To investigate the interannual variability between the two time series, we applied a low-pass filter to the two time series. The low-pass filter is constructed to keep only signals with periods longer than 15 months. The low-pass filtered CO<sub>2</sub> difference and low-pass filtered and inverted

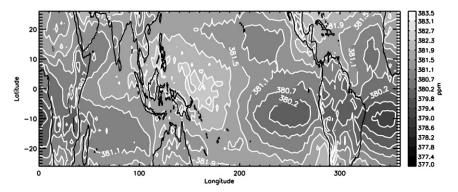


FIG. 1. Mean AIRS midtropospheric CO<sub>2</sub> averaged from September 2002 to February 2011.

SOI are shown in Fig. 2b. The correlation coefficient between the two low-pass-filtered time series is 0.94 (1%).

To better investigate the temporal and spatial variability of the AIRS midtropospheric CO<sub>2</sub> in the tropical

region, we applied the multiple regression method to the AIRS midtropospheric  $CO_2$  data. We decomposed AIRS midtropospheric  $CO_2$  concentrations X at each location using the following empirical model:

$$\begin{split} X(t) &= A_0 + A_1 N P_1(t/N - 1) + A_2 N^2 P_2(t/N - 1) + A_3 N^3 P_3(t/N - 1) \\ &\quad + C_1 \cos(2\pi t) + S_1 \sin(2\pi t) + C_2 \cos(4\pi t) + S_2 \sin(4\pi t) + B \cdot S(t), \end{split} \tag{1}$$

where t is time; N is the half length of the time period; and  $P_1$ ,  $P_2$ , and  $P_3$  are the first, second, and third Legendre polynomials. The coefficients  $A_0$ ,  $A_1$ ,  $A_2$ , and  $A_3$ 

are the mean value, the trend, the acceleration in the trend, and the coefficient for  $P_3$ , respectively. We added the third Legendre function to better fit the datasets.

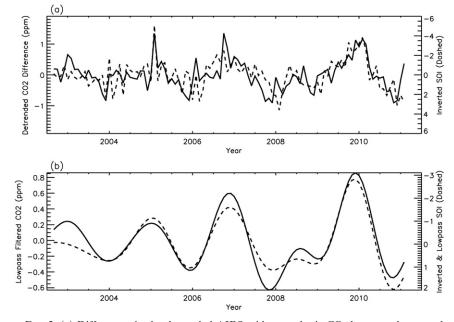


FIG. 2. (a) Differences in the detrended AIRS midtropospheric  $\mathrm{CO}_2$  between the central Pacific (18°S–18°N, 190°–240°E) and the western Pacific (18°S–18°N, 110°–160°E) (solid line), and the inverted and detrended SOI (dashed line). Correlation coefficient between the two time series is 0.62 (1% significance level). (b) As in (a), but for low-pass-filtered data. Correlation coefficient between two low-pass-filtered time series is 0.94 (1% significance level).

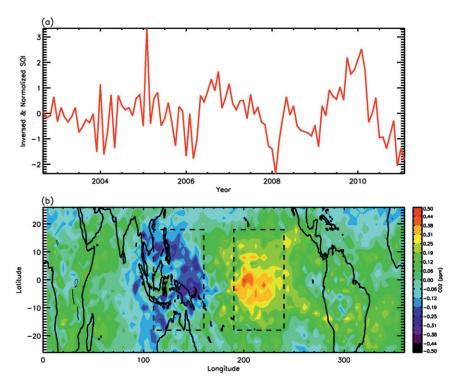


FIG. 3. (a) Inversed, detrended, and normalized SOI. (b) Regression map [coefficient B in Eq. (1)] of the ENSO signal in the AIRS midtropospheric  $CO_2$  in the tropics. Central Pacific (18°S–18°N, 190°–240°E) and western Pacific (18°S–18°N, 110°–160°E) areas used in Fig. 2 are highlighted by dashed boxes in Fig. 3b.

Seasonal and semiannual cycles are represented by the harmonic functions ( $C_1$  and  $S_1$  are the amplitudes of the annual cycle, and  $C_2$  and  $S_2$  are the amplitudes of the semiannual cycle); B is the regression coefficient for the ENSO signal in the midtropospheric  $CO_2$ ; and S(t) is the inversed, detrended, and normalized SOI index, which is shown in Fig. 3a and was used for regressing the coefficients of ENSO signal in the AIRS midtropospheric CO<sub>2</sub>. The standard deviation for the inversed and normalized SOI is 1. Pagano et al. (2011) discussed the midtropospheric CO<sub>2</sub> seasonal cycle from AIRS. We have studied the amplitude and mechanism for the semiannual cycle of the midtropospheric CO<sub>2</sub> in another paper (Jiang et al. 2012). In this paper, we will mainly focus on investigating the influence of ENSO on midtropospheric CO<sub>2</sub>.

Regression coefficients for the ENSO signal in the AIRS midtropospheric CO<sub>2</sub> are shown in Fig. 3b. The multiplication of positive (negative) values in Fig. 3a and regression coefficients in Fig. 3b represents the El Niño (La Niño) signal in the AIRS midtropospheric CO<sub>2</sub>. There are positive (negative) CO<sub>2</sub> anomalies in the central Pacific and negative (positive) CO<sub>2</sub> anomalies in the equatorial western Pacific during El Niño (La Niña) events. The CO<sub>2</sub> anomaly is about 0.5 ppm in the central

Pacific and -0.5 ppm in the western Pacific during El Niño episodes. During strong El Niño cases (e.g., February 2005 and February 2010), the CO<sub>2</sub> amplitude is about 1 to 2 ppm in the central Pacific and -2 to -1 ppm in the western Pacific. During a strong La Niña case (e.g., February 2008), the CO<sub>2</sub> amplitude is about -1 ppm in the central Pacific and 1 ppm in the western Pacific, which is consistent with the results obtained in Jiang et al. (2010).

To investigate how well the model could simulate the ENSO signal in the midtropospheric CO<sub>2</sub>, we convolved MOZART-2 CO<sub>2</sub> vertical profiles with the AIRS midtropospheric CO<sub>2</sub> weighting function. MOZART-2 convolved midtropospheric CO<sub>2</sub> differences between the central Pacific and the western Pacific regions were calculated. Detrended MOZART-2 CO<sub>2</sub> differences are shown in Fig. 4a. Detrended model CO<sub>2</sub> differences correlate well with the inverted and detrended SOI index. The correlation coefficient between the two time series is 0.48 (1%). A low-pass filter was applied to the detrended MOZART-2 midtropospheric CO<sub>2</sub> difference and the inverted and detrended SOI (Fig. 4b). The correlation coefficient between two low-pass-filtered time series is 0.65 (1%).

We used the SOI to separate MOZART-2 detrended and deseasonalized CO<sub>2</sub> into two groups. When the SOI

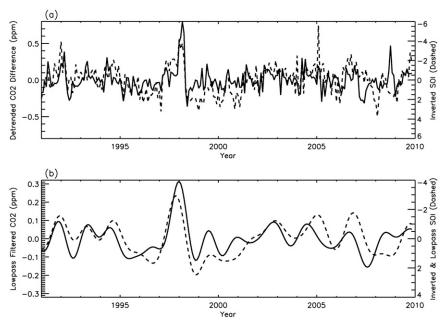


FIG. 4. (a) Differences in the detrended MOZART-2 midtropospheric CO<sub>2</sub> between the central Pacific (18°S–18°N, 190°–240°E) and the western Pacific (18°S–18°N, 110°–160°E) (solid line), and the inverted and detrended SOI (dashed line). Correlation coefficient between the two time series is 0.48 (1% significance level). (b) As in (a), but for low-pass-filtered data. Correlation coefficient between the two low-pass-filtered time series is 0.65 (1% significance level).

was 1.5 standard deviations below (above) the mean value, we considered it to be an El Niño (La Niña) month. MOZART-2 detrended and deseasonalized CO<sub>2</sub> data averaged for 13 El Niño months are shown in Fig. 5a. We also have overlain the vertical velocity in Fig. 5a. During El Niño months, there is rising air over the central Pacific Ocean as shown by the dotted white contours in Fig. 5a. As a result, the surface high CO<sub>2</sub> can be lifted into the midtroposphere over the central Pacific region during El Niño months. A low concentration of midtropospheric CO2 is seen in the western Pacific Ocean; however, the low CO<sub>2</sub> appears in the subtropical area instead of the tropical area as seen in the AIRS midtropospheric CO<sub>2</sub> (Jiang et al. 2010), which might be related to the relatively weak vertical velocity and relatively strong northward winds over the western Pacific Ocean in the ECMWF-Interim Re-Analysis data. In Fig. 5b, MOZART-2 detrended and deseasonalized CO<sub>2</sub> data for 14 La Niña months suggest that lower CO<sub>2</sub> has been transported from high altitude to the midtroposphere over the central Pacific Ocean. High CO<sub>2</sub> is seen over the western Pacific Ocean; however, the position of the high CO<sub>2</sub> shifts a little bit northward, which might be related to the relatively strong northward winds in the model. Figure 5c presents the MOZART-2 midtropospheric CO<sub>2</sub> differences between the El Niño and La Niña months. The MOZART-2 midtropospheric  ${\rm CO_2}$  differences (El Niño – La Niña) are about 1 ppm over the central Pacific and -0.7 ppm over the western Pacific. These are consistent with changes in the Walker circulation during El Niño and La Niña months. A Student's t test was used to calculate the statistical significance of the MOZART-2  ${\rm CO_2}$  concentration differences during El Niño and La Niña months. The  ${\rm CO_2}$  differences between El Niño and La Niña months were statistically significant when t was larger than a certain value  $t_0$ .  ${\rm CO_2}$  differences with significance levels less than 5% are highlighted by blue areas in Fig. 5d.

We applied the multiple regression method to the MOZART-2 midtropospheric CO<sub>2</sub>. The ENSO component in the MOZART-2 midtropospheric CO<sub>2</sub> is shown in Fig. 6b. There is more (less) midtropospheric CO<sub>2</sub> over the central (western) Pacific Ocean during El Niño months. The amplitude of the ENSO signal in the MOZART-2 midtropospheric CO<sub>2</sub> is about half of the ENSO amplitude in the AIRS midtropospheric CO<sub>2</sub>. In addition, the spatial pattern of the ENSO signal in MOZART-2 is different than that from AIRS midtropospheric CO<sub>2</sub> over the western Pacific Ocean. Differences between MOZART-2 and AIRS midtropospheric CO<sub>2</sub> might be related to the climatological surface CO<sub>2</sub> emission and transport used in MOZART-2. In one of our previous studies (Jiang et al. 2008), we found that convection in the 3D models is likely too weak in the

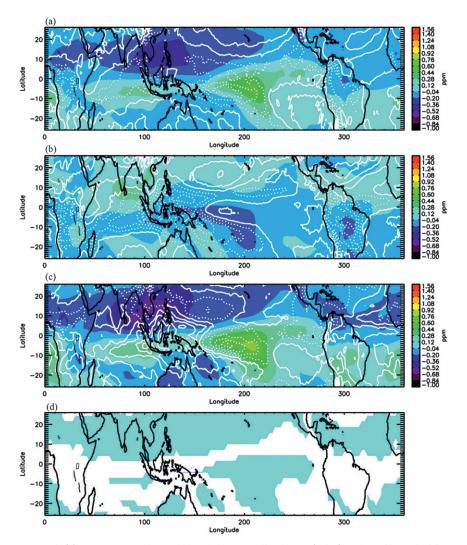


FIG. 5. (a) MOZART-2 detrended and deseasonalized CO<sub>2</sub> (color) and vertical velocities (white contours) averaged for 13 El Niño months. (b) As in (a), but for 14 La Niña months. (c) MOZART-2 CO<sub>2</sub> differences and vertical velocity differences (white contours) between El Niño and La Niña months. (d) MOZART-2 CO<sub>2</sub> differences within a 5% significance level are highlighted in blue. Solid (dotted) white contours refer to sinking (rising) air.

boreal winter and spring. This will lead to underestimation of midtropospheric  $CO_2$  in the models. Similar results were also reported by Yang et al. (2007) when they compared column-averaged dry molar mixing ratios of  $CO_2$  at Park Falls with TransCom simulations. In addition, the strong northward winds in ECMWF-Interim Re-Analysis data might lead to the poor simulation of  $CO_2$  in the western Pacific Ocean. Previous studies suggested that the ocean flux decreases during El Niño events (Feely et al. 1987, 1999). In a sensitivity study, we reduced the  $CO_2$  ocean flux by 20%, and found that the midtropospheric  $CO_2$  decreases by 0.02 ppm. The MOZART-2  $CO_2$  results might be improved in the future with better surface emission inventories and transport fields.

# 4. Conclusions

AIRS midtropospheric  $CO_2$  retrievals have been used to investigate the interannual variability of  $CO_2$  in the tropics. Detrended AIRS midtropospheric  $CO_2$  differences between the central and western Pacific correlate well with the inverted and detrended SOI. There is more (less)  $CO_2$  in the central Pacific and less (more)  $CO_2$  in the western Pacific for El Niño (La Niña) events. The multiple regression method was also applied to the AIRS midtropospheric  $CO_2$  in the tropical region. During El Niño episodes, there is more (less)  $CO_2$  in the central (western) Pacific as a result of changes in the Walker circulation. A similar signal was also seen in the MOZART-2

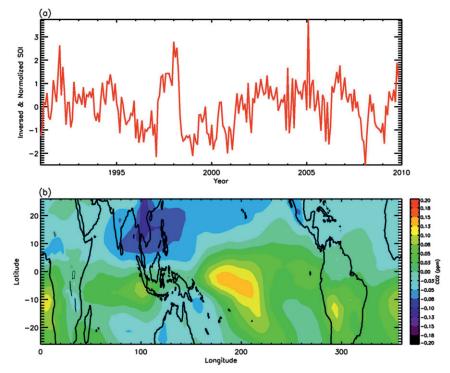


FIG. 6. (a) Inversed, detrended, and normalized SOI. (b) Regression map of the ENSO signal in the MOZART-2 midtropospheric  $CO_2$  in the tropics.

midtropospheric  $CO_2$ , although the amplitude and spatial pattern in the model was a little different compared with that in the AIRS midtropospheric  $CO_2$ . These results reveal temporal and spatial variability of midtropospheric  $CO_2$  as a response to ENSO. The results may be helpful to modelers who wish to better simulate ENSO signals in the middle troposphere and better constrain vertical transport in the chemistry-transport models. An improved model can be used to better simulate the influence of ENSO on tracers, such as  $CO_2$ , CO,  $O_3$ , and  $H_2O$ .

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